## UNITED STATES PATENT APPLICATION TRANSMITTAL FORM



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Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Anthony Mark Pasqualoni, Deepak Mahulikar, Larry A. LaFollette

and Richard J. Jenkins

For: A CHEMICAL MECHANICAL POLISHING SLURRY

COMPOSITION FOR POLISHING CONDUCTIVE AND NON-CONDUCTIVE LAYERS ON SEMICONDUCTOR WAFERS

ICIO	sea are	∂:
	XXX	Specification (19 pps.) consisting of: Description (13 pps); Claims (5 pps); Abstract (1 pp);
		sheets of drawings;
	XXX	Declaration and Power of Attorney;
	<u>xxx</u> ∨	An assignment of the invention: Assignee Name: ARCH SPECIALTY  CHEMICALS, INC. Assignee Residence: NORWALK, CONNECTICUT, including a \$160.00 recordation fee and Assignment Recordation Form Cover Sheets;
		Information Disclosure Statement (with a copy of a patent);
		Form - PTO-1449;
		The undersigned attorney has verified that the application is entitled to a Small Entity Status; and
		Priority of U.S. Provisional Application Serial No., filed on is claimed under 35 U.S.C. §119(e);
		A Request and Certification under 35 U.S.C. 122(b)(2)(B)(i);

The Filing Fee is calculated below.

		<b>CLAIMS AS FILED</b>			
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00	
Total Claims	31 - 20 =	11	x \$18.00	\$198.00	
Independent Claims	4 - 3 =	1	x \$80.00	\$80.00	
Multiple Dependent Clair			x \$270.00 = \$0.00		
TO	TAL FILING FEE			\$988.00	

1/2 FILING FEE FOR SMALL ENTITY	\$.00

	No	fee	enclosed	<ul><li>filing</li></ul>	by	missing	parts
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- XXX A check in the amount of \$ \_1,148.00 for the filing fee (\$988) and assignment recordal fees (\$160.00) is enclosed.
- The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467.** A duplicate copy of this Form is enclosed.

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April 30, 2001

Date of Signature

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## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No.EL754408052US, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 on April 30, 2001.

Victoria E. Roeser
(Typed name of person mailing paper)

(Signature of person mailing paper)